# NAACLS Guide to Accreditation

**Adopted: 10/2024** Revised: 02/2025



#### Dear Colleague:

Thank you for your interest in the programmatic accreditation process provided by the National Accrediting Agency for Clinical Laboratory Sciences (NAACLS). NAACLS accredits biomedical sciences (BMS), cytogenetic technologist (CG), doctorate in clinical laboratory science (DCLS), diagnostic molecular scientist (DMS), histotechnician (HT), histotechnologist (HTL), medical laboratory assistant (MLA), medical laboratory microbiologist (MLM), medical laboratory scientist (MLS), medical laboratory technician (MLT), pathologists' assistant (Path A), phlebotomist (PBT) and public health microbiologist (PHM) educational programs.

NAACLS is recognized by the Council for Higher Education Accreditation (CHEA). Recognition by CHEA affirms that standards and processes of accrediting organizations are consistent with quality improvement, and accountability expectations that CHEA has established. NAACLS also confirms the Code of Good Practice of the Association of Specialized and Professional Accreditors. It is assumed that NAACLS volunteers also support the code.

The **Guide to Accreditation** is one of three documents needed by programs going through the accreditation process, along with the **NAACLS Standards** and the **Standards Compliance Guide**. The NAACLS Guide to Accreditation is designed to familiarize and assist you with the programmatic accreditation process. A separate standards compliance guide is available for the doctorate in clinical laboratory science (DCLS).

If you have questions, contact us at 773.714.8880 or info@naacls.org.

We look forward to working with you and helping you make accreditation an achievable goal for your program.

Sincerely,

The NAACLS Staff

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#### **Mission Statement**

The National Accrediting Agency for Clinical Laboratory Sciences (NAACLS) is committed to being the premier accreditation agency for ensuring the advancement of education in clinical laboratory sciences and related health care disciplines provided by domestic and international programs.

## **Vision Statement**

Medical laboratories preferentially seek graduates of NAACLS programs to assure quality, value, innovation, and safety for healthcare consumers.

## **Values**

Quality
Education
Innovation
Collaboration
Peer Review
Global Accreditation

# **Commitment to Inclusion, Diversity, Equity and Access**

NAACLS is committed to advancing Inclusion, Diversity, Equity, and Access (IDEA) within the laboratory science community. Fostering a culture of respect, equity, and belongingness, NAACLS celebrates differences in race, ethnicity, age, sex/gender identity, culture, ability, beliefs, and socioeconomic status. The dedication to equity ensures fair educational practices, promotes inclusivity in NAACLS accredited programs, and encourages access to educational resources for all.

**INCLUSION:** promoting a culture of respect, equity, and belongingness for all members of the NAACLS community, including students, faculty, professionals, and patients.

**DIVERSITY:** recognizing and appreciating differences among people and their perspectives, including but not limited to dimensions of race, ethnicity, age, sex/gender identity, culture, ability, religious beliefs, political beliefs, familial status, educational background, occupation, and socioeconomic status.

**EQUITY:** ensuring fair, equitable, and just educational practices and policies that impact the achievement of successful student outcomes.

**ACCESS:** promoting access to NAACLS accredited program offerings and educational resources. This is characterized by behaviors and activities where all people feel welcome and able to participate, regardless of background or identity.

# **Confidentiality Policy on Programmatic Communications**

NAACLS maintains confidentiality of information submitted in the accreditation process (1) to NAACLS by accredited programs and accreditation applicant programs, and (2) by NAACLS to those same programs. This includes, without limitation, communication by telephone, email, US

mail, private delivery service, and messaging, through website submission, and in person. NAACLS does not share confidential information with the public.

Exceptions to this confidentiality include (1) publication of program information on the NAACLS public website, including, without limitation, program awards decided by the NAACLS Board of Directors, and (2) disclosure of information as may be legally required.

Intrinsic to private accreditation is the promotion of candor within its process, which includes constructive criticism that leads to improvement in the quality of an educational program. Maintaining confidentiality within the accreditation process promotes candor. Personnel within educational programs are more forthright and candid because they trust (a) that the information they disclose to an accrediting agency during the accreditation process will be used solely within that process and will not be otherwise disclosed, and (b) that the candid evaluation sent by the accrediting agency to the educational program for the purpose of fostering improvement in the program will also not be disclosed outside the process.

#### **About NAACLS**

The National Accrediting Agency for Clinical Laboratory Sciences (NAACLS) accredits biomedical sciences (BMS), cytogenetic technologist (CG), doctorate in clinical laboratory science (DCLS), diagnostic molecular scientist (DMS), histotechnician (HT), histotechnologist (HTL), medical laboratory assistant (MLA), medical laboratory microbiologist (MLM), medical laboratory scientist (MLS), medical laboratory technician (MLT), pathologists' assistant (Path A), phlebotomist (PBT) and public health microbiologist (PHM) educational programs.

NAACLS is comprised of three review committees, the board of directors and the executive office staff. The Review Committee for Accredited Programs (RCAP) reviews CG, DMS, MLS, and Path A programs for accreditation. The Doctoral Review Committee (DRC) reviews DCLS programs for accreditation. The Programs Accredited Review Committee (PARC) reviews HT, HTL, MLA, MLT, and PBT programs for accreditation. The board of directors functions as the governing unit of NAACLS and grants final accreditation awards. The executive office staff facilitates the accreditation processes.

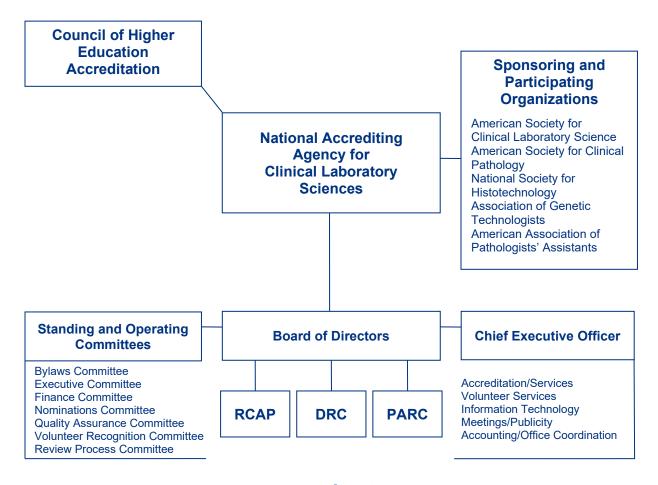
NAACLS is an autonomous, nonprofit organization established in 1973 as the successor to the American Society for Clinical Pathology (ASCP) Board of Schools. ASCP and the American Society for Clinical Laboratory Science (ASCLS) are sponsoring organizations of NAACLS. The American Association of Pathologists' Assistants (AAPA), the National Society for Histotechnology (NSH) and the Association of Genetic Technologists (AGT) are participating organizations. NAACLS is recognized by the Council for Higher Education Accreditation (CHEA).

#### **Contact Information**

National Accrediting Agency for Clinical Laboratory Sciences 5600 N. River Road, Suite 720 Rosemont, IL 60018 Phone 773.714.8880 Email: info@naacls.org

www.naacls.org

This diagram depicts NAACLS and the organizations that collaborate in the accreditation of clinical laboratory science education programs:



## Accreditation

Primary aspects of the NAACLS programmatic accreditation process are: (1) the self-study process; (2) the site visit process; (3) evaluation by a review committee, (4) assessment of review committee evaluation by the Quality Assurance Committee, and (5) evaluation by the NAACLS Board of Directors. Evaluation is based on NAACLS Standards, which are the minimum criteria used when determining programmatic accreditation.

NAACLS conducts various functions of programmatic accreditation including: (1) drafting and reviewing standards for the operation of specialized programs; (2) selecting and training knowledgeable volunteers to review self-study reports and serve as site visitors; (3) selecting representatives to serve on the review committees and the board of directors, and (4) granting accreditation awards based on a program's self-study and site visit processes.

The review committees are comprised of educators and practitioners representing their respective disciplines. Members are elected by the board of directors for staggered terms to assure continuity on the committee. The chair, chair-elect, and vice chair are elected annually by committee members.

## **Definition and Benefits of Accreditation**

Accreditation is a process of external peer review in which an agency grants public recognition to a program of study or an institution that meets established qualifications and educational standards. Programs that participate in the NAACLS programmatic accreditation process culminate in an associate's degree or higher upon completion. Participation in the accreditation process is voluntary since there is no legal requirement for specialized programs and institutions to participate. However, there are factors that make accreditation valuable. The benefits include, but are not limited to, the following.

#### NAACLS Accreditation:

- 1. Through a review process that includes a Self-Study Review and site visit, identifies for the public specialized degree and certificate programs that meet nationally established standards of educational quality.
- 2. Stimulates improvement of educational programs by involving faculty and staff in ongoing self-evaluation, research and planning.
- 3. Promotes a better understanding of the goals of professional education.
- 4. Provides reasonable assurance that practitioners meet minimum educational standards upon entry into the profession.
- 5. Assists specialized programs in achieving their objectives.

Accreditation of NAACLS programs is a collaborative process involving several organizations and agencies.

# **Basic Eligibility Criteria for Becoming an Accredited Program**

NAACLS applies the following basic eligibility criteria when it considers an applicant program for initial accreditation:

- 1. The sponsoring institution and affiliates, clinical and/or academic, if any, must be accredited by recognized state, regional and/or national agencies.
- 2. Academic institutions sponsoring clinical laboratory science education programs must be empowered by a state authority to grant the appropriate degree.
- 3. The institution must be legally authorized under applicable state law to provide postsecondary education.

# **Review of Accredited Programs**

Programs that seek accreditation by NAACLS are evaluated by either the RCAP, PARC or DRC and by the board of directors.

#### **RCAP Evaluation**

The Review Committee for Accredited Programs (RCAP) has representatives from programs for the biomedical sciences, cytogenetic technologist, diagnostic molecular scientist, medical laboratory microbiologist, medical laboratory scientist, pathologists' assistant, public health microbiologist and from administration in higher education. The RCAP evaluates programs seeking accreditation and forwards accreditation recommendations to the board of directors. RCAP members serve as readers of self-study and site visit materials for programs. NAACLS notifies the sponsoring institution of the RCAP accreditation recommendation to the board of directors. The RCAP meets in the winter and summer annually.

#### **PARC Evaluation**

The Programs Accredited Review Committee (PARC) has representatives from programs for

histotechnician, histotechnologist, medical laboratory assistant, medical laboratory technician and phlebotomy. The PARC evaluates programs seeking accreditation and forwards accreditation recommendations to the board of directors. NAACLS notifies the sponsoring institution of the PARC's accreditation recommendation to the board of directors. The PARC meets in the winter and summer annually.

#### **DRC Evaluation**

The Doctoral Review Committee (DRC) has representatives from programs for doctoral clinical laboratory scientists. The DRC evaluates programs seeking accreditation and forwards its accreditation recommendations to the board of directors. DRC members serve as readers of self-study and site visit materials for programs. NAACLS notifies the sponsoring institution of the DRC's recommendation to the board of directors. The DRC meets in the winter and summer annually.

## **Quality Assurance Assessment**

The Quality Assurance Committee reviews all accreditation recommendations from the review committees for accuracy, objectivity, and consistency with standards and accreditation policies. This review takes place before the sponsoring institution is notified of the review committee recommendations and before the recommendations are sent to the board of directors for final accreditation awards.

#### **Board of Directors' Evaluation**

The board of directors evaluates the review committee's accreditation recommendations for accuracy, objectivity and consistency. The board may approve a recommendation, amend it or return it to the committee for re-evaluation. NAACLS notifies the sponsoring institution of the board's accreditation action. The board meets in the spring and fall annually after the review committees' meetings.

#### **Standards**

Standards are the minimum national standards used for the development and evaluation of accredited educational programs. They are developed through a process that requires input from and review by peer groups, sponsoring and participating organizations, affiliating organizations, other interested professional groups, as well as the public. The standards describe the general characteristics of an acceptable program.

# **NAACLS Philosophy of Accreditation**

Accreditation in the United States is a voluntary process whereby educational programs and institutions request review by their peers. In the NAACLS process of accreditation, there are several steps and parties of review:

- 1. The self-study process, which culminates in the Self-Study Report, includes a review of the Self-Study Report and the program's response.
- 2. The site visit process, which includes the visit itself, the Site Visit Report, and the program's response.
- 3. The review by the program review committee.
- 4. The assessment of the review committee recommendation by the Quality Assurance Committee.
- 5. The review by the NAACLS Board of Directors.

All these parties are dedicated to a common goal, quality enhancement of laboratory education.

Only through full and open communication and cooperative efforts can this goal be achieved.

As described above, the core of the NAACLS accreditation process is material provided by a program, a review conducted by content experts, and an opportunity to respond to any concerns identified in a review. Programs always have the chance to respond to a concern of non-compliance on a review. Examples of reviews programs receive may include, but is not limited to, the following:

- Accreditation Application Packet Review.
- Program Official Approval Form Review.
- Self-Study Review.
- Site Visit Review.
- Progress Report Review.
- Interim Report Review.

After the application packet stage, NAACLS gives programs only one opportunity to respond to a document review. After NAACLS receives the program's review response, the review process moves forward. Board award decisions are rooted in compliance with all the standards met through this review and response process.

NAACLS requires a Progress Report for any program that fails to demonstrate compliance with Standard VI, the administrative standard. A Progress Report may not be required for Standard VI, but NAACLS will notify the program multiple times before it takes further action.

Probationary Accreditation is awarded for programs that do not demonstrate compliance on their progress report or for programs that do not demonstrate compliance with Standard VI after multiple attempts by NAACLS Staff to resolve the issue. Programs awarded Probationary Accreditation, or Administrative Probationary Accreditation, will have one opportunity to demonstrate compliance by a pre-determined due date.

If a program on Probationary/Administrative Probationary Accreditation cannot demonstrate compliance as required, the NAACLS Board of Directors will likely award Involuntary Withdrawal of Accreditation.

# **Benefits of Accreditation to Non-US Programs**

With over 50 years of experience and more than 600 accredited programs worldwide, NAACLS is the premier accreditation agency for advancing education in clinical laboratory sciences and related healthcare disciplines.

With consistent standards and processes for all programs regardless of location, NAACLS accreditation demonstrates an equivalency of quality between non-US and US programs. This equivalency provides unique mobility opportunities for graduates and improves health outcomes locally to the areas where the accredited programs serve.

We deeply respect quality assurance (QA) systems and encourage all programs, including non-US programs seeking NAACLS accreditation, to engage in QA provided locally.

NAACLS accreditation focuses on outcomes. This philosophy preserves a program's identity and allows programs opportunities to comply with local requirements. NAACLS believes in continual quality improvement and strongly encourages program innovation. We actively guard

against overly prescriptive standards. Providing flexibility at every opportunity allows programs the freedom to adjust quickly to local circumstances.

NAACLS programs are reviewed by their peers, and we use volunteer content experts who are educators and practitioners. This firsthand experience plays a pivotal role in developing the materials available to support other program directors of NAACLS-accredited programs. Additionally, being the program director of an accredited program provides networking opportunities with other programs around the globe.

Over the last half-century, we have developed an accreditation process anchored in program improvement. Our volunteer content experts continually review and update the NAACLS standards to ensure relevance in each accreditation pathway. Because these volunteers are program officials, they have a pulse on making sure graduates of NAACLS-accredited programs are prepared to enter the workforce.

NAACLS Accreditation benefits your local community. The Standards, developed in partnership with domestic professional organizations, help create a standardization of practice. Additionally, as an accreditor that accredits multiple disciplines, it provides a career ladder for those entering the profession.

#### **Non-US Accreditation Process**

With a few exceptions, non-US standards and accreditation processes are identical to those in the United States. We recommend you review the "Philosophy of Accreditation and "Procedures for Review: Initial and Continuing Accreditation" sections of this document and contact NAACLS Staff if you have questions. However, due to the additional variables of non-US site visits, NAACLS has additional processes for non-US programs.

#### **Request for Accreditation**

When a non-US program submits its Accreditation Application Packet, it must include a completed Request for Accreditation form. This form will document that NAACLS has the authority to operate within the program's country. If NAACLS is not authorized to operate, it will provide a rationale as to why. When possible, NAACLS staff will follow up with the appropriate agencies to attempt to gain authorization.

# **Approval of Non-US Site Visits**

The NAACLS Executive Committee has the final decision on if a site visit will take place. The executive committee will base this decision on a review of a Non-US Accreditation Logistics Assessment. The purposes of this assessment are twofold:

- 1. Ensure that all involved understand the critical information associated with the site visit. NAACLS logistics assessment will contain the following:
  - a. Policy acknowledgements.
  - b. Program information.
  - c. Site visitor information.
  - d. Site visit details.
- 2. Provide the NAACLS Board of Directors Executive Committee with the necessary information on whether the site visit will commence.

#### **Non-US Site Visits**

NAACLS allows site visitors to travel at a minimum in business class when non-US flights are

more than eight hours (layovers included) or if one leg alone is six hours or more.

For non-US site visits, when a site visitor crosses more than three time zones, the site visit will be at least seven days. Volunteers will be allowed two days for travel, two days for time zone adjustment or additional travel, and three days for the on-site program review. Site visits will be scheduled for longer when necessary, depending on circumstances.

# **Procedures for Review: Initial and Continuing Accreditation**

## The Overall Accreditation Process



# Development of Program Application Packet

Programs seeking initial accreditation must first comply with several requirements including a letter of intent, a completed Accreditation Application Packet, payment of an application fee, and approval of a Preliminary Report. These individual requirements must be submitted in the Accreditation Application Packet (available on the website). Programs seeking Biomedical Scientist (BMS) Initial Accreditation must complete a screening questionnaire, Preliminary Potential Partnership Form prior to submitting the Initial Application Packet.

# **The Self-Study Process**

The first step in the evaluation of a program is the program's own self-evaluation. This is accomplished by the program director with the cooperation of the program faculty and administration. NAACLS has made the forms that the reviewers will use available for download on the NAACLS Website (www.naacls.org) as an aide for program officials to evaluate their program. While the program's self-evaluation certainly should review the NAACLS Standards, other documents, such as the programmatic and institutional mission statements, supply additional information for the functions of the program. The result of this self-evaluation is the Self-Study Report, which is a document that demonstrates the program compliance with the Standards. Recommendations for assembling the Self-Study Report are found in the Standards Compliance Guide.

The self-study reviewer is charged with the review of the Self-Study Report, ensuring that it adequately demonstrates the program's

compliance with the standards. The reviewer is evaluating the Self-Study Report, rather than the program, thus assuring that good practice processes are documented. In addition, the reviewer is the earliest outside source to review the adequacy of compliance. The program receives the Self-Study Review and is directed to develop a response to the Self-Study Review. The response attempts to clarify issues identified in the Self-Study Review, and perhaps to develop new policies and procedures to address the concerns noted.

#### The Site Visit Process

Site visits are fact-finding journeys. The objective of a site visit is to verify and supplement information presented in the Self-Study Report and the response to the Self-Study Review. The Site Visit Report is the product of the site visit and is a summary of information that the program has provided for the site visitors, keyed to the NAACLS Standards. The program receives the Site Visit Report and is directed to develop a Site Visit Report Response. The response attempts to clarify issues identified in the site visit, and perhaps to develop new policies and procedures to address the concerns noted.

# **Review by a Review Committee**

Based on the review of Self-Study Review, the program's response to the Self-Study Review, the Site Visit Report, and the program's response to the Site Visit Report, the appropriate review committee makes determinations as to the compliance, partial compliance or non-compliance of a program with the Standards and recommends accreditation and actions to the NAACLS Board of Directors.

# **Quality Assurance Assessment**

The NAACLS Quality Assurance Committee reviews all accreditation recommendations before the sponsoring institution is notified of the review committee recommendations and before the recommendations are sent to the board of directors for final approval of accreditation awards.

# The Review by the NAACLS Board of Directors

Based on the recommendations of the review committee, and with review of consistent application of the NAACLS Standards to ensure that decisions are not arbitrary, capricious, or inconsistent, the board of directors makes the final determination to award, withhold, or withdraw accreditation.

# The Initial Accreditation Process Chart

Step	Action	Responsible Party	Time Frame for the Program
1. Download the Accreditation Application Packet.	Access the Accreditation Application Packet on the NAACLS Website.	CEO/president or other high- ranking administrator of sponsoring institution	Starting point.
2. Provide all materials required	Sponsoring institution submits:  • Letter of Intent, signed by a	Proposed program director/	As soon as the program has
by the	designated institution official	department chair	completed all

Accreditation Application Packet.  legally authorized to sign contractual agreements on behalf of the institution (DSA).  • Accreditation Application Form (included in packet).  • Accreditation application fee (see website).  • Preliminary Report (requirements are included in the packet).			listed steps.
3. Accreditation Application Packet Approved. *	Program encouraged to proceed with the self-study process.	NAACLS	NAACLS reviewers receive up to two months to review all submitted materials.

\*A program will not be considered for accreditation unless the Accreditation Application Packet has been accepted, stating that NAACLS is reasonably assured that the program will meet the standards. If the program enrolls students too early in the accreditation application process and NAACLS does not approve the program's Accreditation Application Packet, the program may risk having students graduate from their program before the program is accredited.

4. Self-Study Report submitted to NAACLS.	Submit Self-Study Report to NAACLS.	Program Director	Prior to graduation of first class.
5. Program receives Serious Applicant Status.	Once the Accreditation Application Packet is approved and the Self-Study Report is received NAACLS grants Serious Applicant Status.	NAACLS	Immediately after the Accreditation Application Packet is approved and the Self-Study Report is reviewed.
6. Self-Study Review.	Self-Study Report is evaluated.	NAACLS	Self-Study Review forwarded to program typically within two to three months.
7. Submit response to Self-Study Review.	Response to Self-Study Review is submitted with supporting documentation.	Program Director	Within one month of receipt of Self-Study Review.
8. Site Visit Report.	Site visit team submits a written report following the site visit.	NAACLS	Site Visit Report forwarded to program within one- and one-half months following the site visit.

9. Submit	Response to Site Visit Report is		Within one month
response to	submitted with supporting	Program Director	of receipt of Site
Site Visit Report.	documentation.	_	Visit Report.

All documentation is reviewed by NAACLS. Review committee recommendations are reviewed by the QA Committee and sent to the NAACLS Board of Directors to determine accreditation awards.

# **Accreditation Application Packet**

Institutional administrators submitting the Accreditation Application Packet must include the following:

- 1. A letter of interest signed by the sponsoring institution's designated official legally authorized to sign contractual agreement on behalf of the institution, declaring the program's intent to start an accredited program.
- 2. The application for accreditation to NAACLS.
- 3. Proof the accreditation application fee has been paid.
- 4. Required items for the Preliminary Report.

## **Preliminary Report Requirements**

The Preliminary Report is a general overview of the program, and forms part of the foundation of the Self-Study Report. As such, the Preliminary Report must provide adequate evidence that the program will be able to meet the NAACLS Standards to be accepted as satisfactory. The Preliminary Report is submitted with all other required materials in the Accreditation Application Packet. See the Standards Compliance Guide under Compliance Requirements for Additional Reports for additional details on completing the Preliminary Report.

Upon review of the Accreditation Application Packet, if the committee is reasonably assured that the program will meet the Standards, NAACLS notifies the program director to begin the initial self-study process. Additional documentation and clarification may be requested before a program is encouraged to proceed. Programs are allowed three opportunities to submit requested items to achieve a satisfactory Accreditation Application Packet Review in a two-year period. If the program is unable to achieve a satisfactory review upon the third submission, the program must begin the initial accreditation process from the beginning, including submission of a new Accreditation Application Packet and application fee.

Once the Accreditation Application Packet is accepted as satisfactory, NAACLS staff will assign a Self-Study Report due date and a site visit date for programs seeking accreditation. *Ideally*, the Self-Study Report due date is prior to graduation of the first class of students. The site visit date is typically no later than three months after the class graduates and within six months of the Self-Study Report due date.

- Submit the Self-Study Report.
   See the NAACLS Website for instructions on submission of the Self-Study Report.
- Achieve Serious Applicant Status.
   NAACLS considers a program in Serious Applicant Status when it has achieved the following steps:
  - a. Received approval for the Accreditation Application Packet.
  - b. Submitted the completed Self-Study Report.

Periodically, certification agencies request information from NAACLS regarding whether a

program is considered to be a Serious Applicant Status. Until NAACLS has received these items, it does not report that a program is a Serious Applicant Status. **NAACLS has no authority to determine admission to a certification examination. All questions regarding eligibility for such examinations should be directed to the following certification agencies for accredited programs:** 

ASCP Board of Certification 800-267-2727, info@ascp.org

AMT, American Medical Technologists 847-823-5169, mail@americanmedtech.org

NHA, National Healthcareer Association

800-499-9092, https://info.nhanow.com/nha-email-form

NCCT, National Center for Competency Testing 800-845-4404, contactus@ncctinc.com

A program seeking accreditation may remain in Serious Applicant Status for 18 months. After that time, the program must reapply.

The program director must inform students seeking admission that the program is applying for accreditation and that their eligibility to take certification examinations may depend on whether the program achieves Serious Applicant Status. This information must be transmitted in writing.

# **Continuing Accreditation Process Chart**

Step	Action	Responsible Party	Time Frame for the Program
1. Self-Study Report submitted to NAACLS.	Submit Self-Study Report to NAACLS.	Program Director	Submitted by due date listed on Notification of Renewal.
2. Self-Study Review.	Self-Study Report is evaluated.	NAACLS	Self-Study Review forwarded to program typically within two to three months.
3. Response to Self-Study Review.	Response to Self-Study Review is submitted with supporting documentation.	Program Director	Within one month of receipt of Self-Study Review.
4. Site Visit Report.	Site visit team submits a written report following the site visit.	NAACLS	Site Visit Report forwarded to program within one- and one-half months following the site visit.
5. Response to Site Visit Report.	Response to Site Visit Report is submitted with supporting documentation.	Program Director	Within one month of receipt of Site Visit Report.

All documentation is reviewed by NAACLS. Review committee recommendations are reviewed by the Quality Assurance Committee and sent to the NAACLS Board of Directors to determine accreditation awards.

# The Accreditation Process-The Self-Study Report

Programs seeking initial accreditation turn in the Self-Study Report as the last step to gaining Serious Applicant Status, while programs seeking continuing accreditation receive a Notification of Renewal from NAACLS approximately one year before the Self-Study Report is due.

## **Self-Study Process**

The self-study process is one of the primary aspects of the accreditation process. It involves a programmatic self-review of internal policies, functions, resources and external relationships to allow ongoing improvement of the program. The program director presents the results of the self-study process in a Self-Study Report, which demonstrates the program's compliance with the Standards.

The self-study process enables the institution to:

- 1. Evaluate the program before the site visit.
- 2. Take remedial action if one or more aspects of the program do not meet the Standards.
- 3. Enhance positive aspects of the program.

The program director is responsible for supervising the self-study process and submitting the Self-Study Report. The self-study process is most efficient when everyone associated with the program participates, including administrators, faculty, students, graduates, employers of graduates and representatives of institutional affiliates. Personnel from other disciplines or programs (such as basic science faculty) are frequently helpful.

# **Conducting the Self-Study Process**

The program director *may* conduct the self-study process in the following sequence:

- 1. Organize at least one committee of representatives from interested groups. Each committee may form subcommittees to address specific aspects of the self-study process in relation to the Standards.
- 2. Familiarize committee members with the Standards, the Guide to Accreditation and the Standards Compliance Guide. Make assignments as needed.
- 3. Gather each committee's evaluations of the program and organize materials for the Self-Study Report.
- 4. Prepare the Self-Study Report and have the committee members and administrators review it.

# **Turning in the Self-Study Report**

Recommended documentation for the Self-Study Report can be found in the Standards Compliance Guide. See the NAACLS Website for instructions on how to electronically submit your Self-Study Report.

In the event that the Self-Study Report will not be complete in time to arrive at the NAACLS office by the listed due date, please contact the NAACLS office as soon as possible.

# **Self-Study Review**

A Self-Study Review is an annotated abstract of the information provided in the Self-Study Report. After the program director submits the Self-Study Report to NAACLS, staff assigns a qualified reviewer who determines if the program has submitted all required information and if narrative and documentary materials clearly describe the program. Ultimately, determining

compliance with the Standards is the function of the NAACLS Board of Directors, upon recommendation by the appropriate review committee; however, the self-study reviewer identifies missing information and/or documents, areas of concern, and any additional areas the site visitors and review committees should address.

NAACLS receives the Self-Study Review and sends it to the program director. The program director is encouraged to share this review with the administration and faculty. The program director <u>must</u> submit to NAACLS a response to the Self-Study Review. Should the materials within the Self-Study Report be cited as lacking or in need of clarification, these materials should also be submitted with the response.

#### The Accreditation Process-Site Visit Process

#### **Site Visit Process**

After the self-study process has been completed, NAACLS arranges for the program's site visit. During the site visit, NAACLS' volunteer site visitors meet with faculty and administrators, review materials and verify the Self-Study Report's content. Several aspects of a program's operation can only be assessed on site. For example, the amount of space at the site may be minimal, but excellent adaptations in the use of the facilities are made. Also, interviews enable the site visitors to obtain viewpoints from all participants in the program.

# **Arranging Site Visits**

NAACLS will request site visit dates after the Self-Study Report has been submitted. Once these dates are received, NAACLS will begin to recruit site visitors. NAACLS assigns site visitors to programs undergoing accreditation review, based upon proximity to the program being visited, experience as a site visitor, and training through various resources.

After NAACLS identifies a site visit team, the program director is notified and asked to approve the proposed team. If conflicts are identified, the program director must contact NAACLS immediately. NAACLS will then attempt to recruit a substitute team member.

Once the site visit team is approved, the program director must contact the team members to make arrangements for the site visit. NAACLS will provide the Self-Study Report, Self-Study Review and the Self-Study Response to the assigned site visit team.

Additional persons or observers must not accompany the site visit team without prior approval from the program director, site visitors, and NAACLS. Observers must not act as an impediment to the process.

# Role of the Team Leader and Setting the Itinerary

The team leader is the primary contact with the program regarding the site visit itinerary as well as lodging and ground transportation arrangements. It is also the team leader who keeps team member(s) informed about arrangements.

The program director and team leader prepare the itinerary for the site visit and confirm appointments with those who need to be interviewed.

The itinerary should include:

- 1. Time for the preliminary interview.
- 2. Persons to be interviewed.

- 3. Time and place that each interview will occur.
- 4. Time that facilities will be visited (if applicable).
- 5. Time for the team to work on the site visit report.
- 6. Time for the exit interview.

The team leader should also consult with team member(s) and the program director regarding any additional issues to be clarified during the site visit. The team usually meets the evening before the site visit to develop strategies and assign individual responsibilities. The team may request that the program director provide additional documentation at this time.

# **Conducting the Site Visit**

The site visit team:

- 1. Verifies that information and documents contained in the Self-Study Report are accurate.
- 2. Reviews any information missing from the Self-Study Report.
- 3. Addresses the concerns raised by the reviewer.
- 4. Addresses aspects of the program that can only be determined on site.
- 5. Completes the Site Visit Report.

Site visitors are professional, objective and friendly; they are peers, voluntarily performing a service to the program. With program personnel, they discuss areas of strength and areas of concern regarding the program. The site visitors should stress that the team is serving in a fact-finding capacity with the intent to assist program personnel in a positive and constructive manner. Site visitors should review the steps and responsibilities in the NAACLS accreditation process with program personnel, as listed in the volunteer manual.

Information concerning clinical affiliates is critical for the site visit team and should be made available to the site visitors at the program's sponsoring institution. It is suggested that appropriate contact persons from each clinical affiliate be available for interview at the sponsoring institution during the site visit. If interviews cannot be conducted in this manner, arrangements should be made for telephone conversations. Interviews of students and of recent graduates should be arranged. If students at the clinical facilities cannot be at the sponsoring institution, teleconferences should be arranged.

All interested individuals, including administrators, faculty and students may attend the exit interview. During the exit interview, the site visit team reports its findings. All aspects of the program that will be included in the Site Visit Report <u>must</u> be discussed at the exit interview. Program personnel should find no surprises when they receive the written report. If the team observes an apparent deficiency in relation to the Standards, it should state this in clear and concise terms, giving the rationale for the assessment. The team should allow the program the opportunity to respond to apparent deficiencies.

The site visit team does not have the authority to speak on behalf of nor bind NAACLS regarding a program's compliance with the Standards, nor can they predict accreditation actions. These responsibilities rest solely with the NAACLS Board of Directors, which has the sole and exclusive right to determine whether accreditation is to be granted or continued.

# **Aborting a Site Visit**

An institution undergoing a site visit or the site visitors themselves may elect to abort the visit under special circumstances. If the program officials or site visitors feel that an objective review is not possible, they may contact the NAACLS Board of Directors President or CEO by phone.

They must do so prior to the exit interview, or the visit will be considered complete, and the review processes will continue.

The NAACLS official will ask for the request and justification to be written and emailed immediately to the office. Upon receipt of the request and with agreement of the NAACLS official, the program and visitors will be notified that the visit must be stopped. The institution's CEO is required, in writing, to request another visit.

#### After the Site Visit

NAACLS receives the Site Visit Report and sends it to the program director. The program director is encouraged to share this report with the administration and faculty. The program director <u>must</u> submit to NAACLS a response to the Site Visit Report. Should there be a need to submit additional information, correct factual errors, address any comments or negative responses found in the body of the report <u>and/or</u> in the "Remaining Concerns Identified by the Site Visit Team" table, these materials should also be submitted with the response.

A program can expect to receive a final invoice after the visit is completed and all visitors have submitted their expense reports. If your site visit team is paying for the lodging, food and transportation, the program will still be responsible for the travel costs. If your program pays for all expenses (lodging, food and transportation) ahead of time, the program will only be invoiced the preparation fee and any remaining travel fees submitted from the site visit team.

# **Types of Site Visits**

#### **Initial Accreditation Review**

A three-member team is assigned to visit an initial applicant program. This team includes a member of the review committee or NAACLS Board of Directors and an educator generalist (e.g., a dean or administrator).

# **Continuing Accreditation Review**

The team for continuing programs is composed of at least two qualified individuals from institutions similar to the one being visited.

# **Interim Review of Programs**

If an accredited program is brought to the attention of a review committee or the NAACLS Board of Directors because the possibility of non-compliance with the Standards exists, the board of directors may determine that a site visit is needed. The team composition is at the discretion of the board of directors.

# **Cycle Alignment Policy and Joint Review Process**

Sponsors that have multiple NAACLS accredited programs may request to have the programs' review cycles aligned for a joint review. All requests for cycle alignment should be made in writing (letter or email). Upon the request for a joint review, the NAACLS office will determine the feasibility for alignment and if submission of a Cycle Alignment Report is indicated. When the Cycle Alignment Report is approved, an extension will be made to align the cycles for the next accreditation review. The NAACLS office will determine the due date for the self-studies and schedule the site visit. The site visit is required for accredited programs and is optional for programs. The individual programs will submit separate self-studies and will receive separate self-study review reports and site visit reports. One NAACLS Program Coordinator will handle all site visit planning for the combined review. Each program will get individual site visit reviews,

specific to the program type. The programs must submit responses to each site visit report separately. Programs that go through the joint review process will receive separate recommendations from the review committee(s) and separate awards from the NAACLS Board of Directors.

# The Accreditation Process-Review by Committee and Board of Directors

## **Review by the Review Committee**

The NAACLS Review Committees meet twice per year to discuss recommendations for accreditation. For each program, the committee reviews the Self-Study Review, the Self-Study Review Response, the Site Visit Report, the Site Visit Report Response, and any supplementary materials that have been received by the NAACLS office with enough time to distribute to the committee. *The review committee does not review the original Self-Study Report document, so, if a response references the Self-Study Report, that portion of the Self-Study Report must be re-submitted within the response.* 

The review committee first reviews the program to determine compliance with the Standards. Based on the compliance with the Standards, the committee then recommends an accreditation action. All recommendations are reviewed by the Quality Assurance Committee, then sent to the board of directors, who will make the final decision on all accreditation awards.

When determining accreditation recommendations, the review committee states that a program is in compliance, non-compliance or partial compliance with the Standards.

NAACLS notifies the sponsoring institution's CEO, program director and medical advisor/medical director (if applicable) of its accreditation recommendation and board award.

The number of citations of partial compliance and non-compliance determine the award recommended. Citations are counted as follows:

Standards	Number of possible citations
I.A/B/C, ID	2
II.A, II.B, II.C	3
III.A, III.B, III.C	3
IV.A, IV.B, IV.C	3
V.A-F	1
VI.A-G	1
VII.A, VII.B, VII.C, VII.D, VII.E, VII.F	6 (VII.E and VII.F may not apply to all programs)
VIII.A, VIII.B, VIII.C	3
Total:	22

# **Accreditation Categories**

# **Accreditation for Ten Years with an Interim Report**

The maximum length of accreditation for ten years may be awarded to a program that has:

- 1. No partial or non-compliance citations in the current review cycle.
- 2. No non-compliance citations and up to two partial citations in the previous review cycle.
- 3. No period of inactivity or Probationary Status during the last period of accreditation.

Accreditation for ten years will not be awarded to programs seeking initial accreditation. Any program switching program levels is considered an initial applicant (e.g., HT to HTL).

An Interim Report will be required upon entering the fifth year of the accreditation award. Documentation submitted for the Interim Report must be submitted electronically to the NAACLS office no later than the due date indicated in the accreditation award letter.

The Interim Report must include the following:

- 1. Summary of last three active years of outcome measures data.
- 2. Provide a narrative summary of changes, if any, that occurred within the program since the previous award. These could include, but are not limited to, changes in resources, education delivery modes, and relevant partnerships.
- 3. Narrative on how outcomes measures are analyzed and used in program assessment and continuous quality improvement of the program (outcomes measures that cannot be quantitatively analyzed are to be included in this narrative). The results of program outcomes measures and assessment must include findings from graduate and employer feedback and be:
  - a. Reflected in ongoing curriculum development, resource acquisition/allocation, and program modification.
  - b. Analyzed to demonstrate the effectiveness of any changes implemented.

Programs that are required to provide an Annual Survey Action Plan as part of NAACLS' annual surveying process must submit additional required materials as part of the Interim Report.

Members of the review committee will review the Interim Report to provide feedback to the program. The program will receive an Interim Report review stating the review committee members' findings. If there are outstanding concerns with the Interim Report, the program will have the opportunity to respond in the programs' next Self-Study Report.

#### **Accreditation for Five Years**

For programs seeking <u>initial accreditation</u>, the maximum length of accreditation awarded is five years. Any program switching program levels, e.g., HT to HTL, is considered an initial applicant. The program must have received:

- 1. No non-compliance citations in the current review cycle.
- 2. Three or fewer partial compliance citations in the current review cycle.

For initial programs, a Progress Report documenting compliance with Standards II.B (Outcome Measures) and II.C (Program Assessment and Modification) is required two years from the initial accreditation date. If the Initial Progress Report is unsatisfactory, the program will have one additional year to address the remaining concerns. If a second progress report is needed, the process for Probationary Accreditation will be initiated.

For programs seeking <u>continuing accreditation</u>, five years may be awarded to programs with no full citations of noncompliance that do not otherwise qualify for the ten-year accreditation award. The program must have received:

- 1. One or more partial compliance citations in the current review cycle, or
- 2. A noncompliance citation or more than two partial compliance citations in the previous review cycle, or
- 3. An Inactive or Probationary Status during the last period of accreditation.

If there are any citations, a Progress Report documenting the program's compliance with the cited standards is required within one year.

#### **Accreditation for Less Than Five Years**

For programs seeking <u>continuing accreditation</u>, two years' accreditation may be recommended for a program with one to three full citations of noncompliance, with or without citations of partial compliance.

For continuing programs, a Progress Report documenting the program's compliance with the cited standards is required within one year. Accreditation may be extended for the balance of the full five years if the Progress Report demonstrates compliance with the cited standards.

For programs seeking <u>initial accreditation</u>, two years' accreditation may be recommended for a program with one to three full citations of noncompliance, with or without citations of partial compliance.

For initial programs, a Progress Report documenting the program's compliance with the cited standards is required within one year. Additionally, a Progress Report documenting compliance with Standards II.B (Outcome Measures) and II.C (Program Assessment and Modification) is required two years from the initial accreditation date.

Failure to submit a Progress Report within the specified time period will result in the program receiving Administrative Probationary Accreditation.

#### **Probationary Accreditation**

Probationary Accreditation of six months to one year is awarded to a program with:

- Four or more full citations of noncompliance.
   The number of citations indicates a program has deficiencies that may jeopardize an acceptable educational experience for students. A Progress Report documenting the program's compliance with the cited Standards is required. Accreditation may be extended for the balance of five years if the Progress Report demonstrates compliance.
- 2. A Progress Report deemed unsatisfactory. A program whose Progress Report on previously cited standards is unsatisfactory due to concerns at the non-compliance level will be placed on probation for six months. A program whose progress report on previously cited standards is unsatisfactory due to concerns at the "partial compliance" level will be placed on probation for one year.
- 3. An Interim Report deemed unsatisfactory.
  A program whose Interim Report is unsatisfactory due to concerns raised from the program's Annual Survey Action Plan.

If Probationary Accreditation is recommended for the program, the program director is sent an outline of NAACLS' Due Process Procedure. The chief executive officer of the institution should

notify students enrolled in the program and those seeking admission that the program's accreditation is probationary. If the program director wishes NAACLS to reconsider the recommendation for Probationary Accreditation, the program director must request this in writing within 21 days.

A program's failure to comply with the Standard(s) within the Probationary Accreditation period results in an action of withdrawing accreditation.

# Withholding Accreditation

**This award applies only to initial applicants.** Accreditation may be withheld from a program if it does not meet the standard(s) and deficiencies noted may not be easily correctable.

If NAACLS recommends Withholding Accreditation Status for a program, it identifies all aspects of the program that are not in compliance with standard(s) and sends guidance to the program regarding all possible means of meeting the requirements. The institution may withdraw the application for accreditation before the NAACLS Board of Directors acts upon this recommendation.

If NAACLS recommends Withholding Accreditation Status, it notifies the chief executive officer and program officials of its Due Process Procedure. If the officials choose to exercise the options under the Due Process procedure, they must do so in writing. If not, they may reapply for accreditation one year after NAACLS' action. During that time, the program director and chief executive officer should correct deficiencies in the program and document compliance with the Standard(s).

# **Withdrawing Accreditation**

This may be awarded to a program when:

- 1. The program fails to comply with the Standard(s) within the specified period of Probationary Accreditation or Administrative Probationary Accreditation.
- 2. All other possibilities have been exhausted.

NAACLS offers the program the option to follow NAACLS' Due Process procedure. The program may reapply one year after the effective date of the board award.

When accreditation is withheld or withdrawn from a program, students enrolled in the program at the time of this award are permitted to complete the program and are then considered graduates of a NAACLS accredited program.

NAACLS must award a program Probationary Accreditation or Administrative Probationary Accreditation before it can withdraw accreditation from the program.

# **Voluntary Withdrawal of Accreditation**

A program may voluntarily withdraw from accreditation at any time for any reason. Once notified of the program's intent, NAACLS Staff will request a letter on letterhead signed by a designated signed authority. This letter should state the last date a class will graduate during the program's current accreditation award period and the reason for withdrawal.

# **Involuntary Withdrawal of Accreditation**

Programs that fail to demonstrate compliance though the review process may have their accreditation involuntary withdrawn by the NAACLS Board of Directors.

Should a program receive citations on a standard by the board of directors, they will be given a chance to respond in the form of a Progress Report. Programs that submit an unsatisfactory Progress Report will be awarded Probationary Accreditation.

Programs that are unable to demonstrate compliance with Standard VI, the administrative standard, will be awarded Administrative Probation Accreditation. The CEO is the only NAACLS Staff member to award Administrative Probation Accreditation.

Programs awarded Probationary Accreditation, or Administrative Probationary Accreditation, will have one opportunity to demonstrate compliance by a pre-determined due date, whether that be a probationary Progress Report, or specific materials required for Standard IV.

If a program on probationary/administrative Probationary Accreditation cannot demonstrate compliance as required, the NAACLS Board of Directors will likely award Involuntary Withdrawal of Accreditation.

# **Reapplication for Accreditation**

If a program withdraws or is denied accreditation, it may not reapply until a period of one year has elapsed.

## **Administrative Probationary Accreditation**

This may be awarded to a program that does not comply with any requirement defined in Standard VI.

Administrative Probationary Accreditation is not subject to appeal. During the period of Administrative Probationary Accreditation, programs are recognized as being accredited.

If NAACLS awards Administrative Probationary Accreditation to the program, its notification letter states that the program is in non-compliance with requirements for maintaining accreditation and lists the requirements in question. A program's failure to comply with requirements for maintaining accreditation results in Withdrawing Accreditation Status.

The chief executive officer of the institution should notify students enrolled in the program and those seeking admission that the program's accreditation is probationary. A program that has been placed on Administrative Probationary Accreditation cannot receive ten years of accreditation at the next review.

## **Quality Assurance Assessment**

The Quality Assurance Committee reviews all accreditation recommendations from the review committees for accuracy, objectivity, and consistency with the Standards and accreditation policies. This review takes place before the sponsoring institution is notified of the review committee recommendations and before the recommendations are sent to the board of directors for final approval of accreditation awards. The Quality Assurance Committee may recommend actions directly to the review committees and/or the board of directors.

#### **Due Process**

Once the Quality Assurance Committee and the appropriate review committees have approved a recommendation for a program, the recommendation is then sent to the program prior to submission to the board of directors. The program has the option to accept the recommendation

(no action required) or to request reconsideration of the recommendation (see Due Process procedures).

# **Review by the Board of Directors**

The board of directors receives the recommendations of the review committees and reviews them for consistency in the application of standards, the consistency of the years awarded and the consistency of process. The board then acts on accreditation actions, including granting, continuing and withdrawing accreditation.

# **Program Director Approval**

Standard VII.A requires that a NAACLS accredited program must have a NAACLS approved program director in place at all times. A program director approved temporarily also satisfies the standard. Specific definitions of these categories are available in the Standard Compliance Guide under Additional Reports. The Program Director Approval Form is only available by contacting the NAACLS Staff.

Educators that have yet to be hired by an institution, and have not been NAACLS approved, may participate in the review process and be approved before a direct association with a program. Interested parties should contact NAACLS Staff for more details.

# **Program Director Vacancy Policy**

NAACLS recognizes that a vacancy in the program director position may occur at an inopportune time to find an immediate replacement.

NAACLS must be notified immediately if the program director position becomes vacant or if there is a change in program director. Standard VI.C requires programs to inform NAACLS of any program director changes within 30 days. The program must designate a dean or laboratory administrator as the primary contact person during this transition. This individual will serve as the program's main point of contact for both NAACLS and the public.

After notifying NAACLS of a program director vacancy, the program has six months to provide a qualified candidate to NAACLS for review. If a program cannot secure a qualified candidate within this time frame, the program must provide evidence of an active search process which includes a narrative. Acceptable evidence may include job postings, offer letters, job descriptions, or similar documentation. Failure to provide proof of an active search will result in NAACLS citing the program for non-compliance with Standard VII.A.

If a program provides evidence of an active search, the NAACLS CEO will grant an additional six months for the program to find a qualified candidate for the program director position. Once the program has hired a qualified candidate, they will need submit their Program Official Approval Form documentation to NAACLS before their deadline expires. Should the program still not find a qualified candidate after this additional six months of search time (total of 1 year), NAACLS will cite the program under standard VII.A.

Programs cited under VII.A have six months to respond to this citation with a Progress Report in response to the citation. If NAACLS finds the Progress Report unsatisfactory, NAACLS will award the program with Probationary Accreditation.

NAACLS allows probationary programs to demonstrate compliance with outstanding citations in

a probationary Progress Report.

Programs unable to demonstrate compliance with the Standards in their probationary Progress Report could face involuntary withdrawal.

This policy also applies to programs that utilize education coordinators (HT/HTL, MLM and Path A), medical directors (Path A), site program administrators and accreditation liaisons to comply with Standard VII. Programs must fill those vacancies according to the above Program Director Vacancy Policy and timeline.

# **Annual Survey**

NAACLS' Standards require a submission of the Annual Survey from NAACLS accredited programs, including significant program changes and outcomes measures statistics compared to NAACLS approved benchmarks detailed in the Standards Compliance Guide.

# **Programs Accredited for Ten Years**

#### **Year 1-4**

NAACLS will review annual surveys to determine if they are satisfactory. Should surveys contain any concerns during the review process, NAACLS may request that the program present an Annual Survey Action Plan within six months detailing the program's plan to manage the concern. In addition to the Annual Survey Action Plan, programs that submit outcomes which do not meet NAACLS' benchmarks will also be required to submit the following materials for one course in the curriculum:

- Syllabus.
- Course goals.
- Measurable objectives in the cognitive, psychomotor, and affective domains.
- Evaluation systems that correlate with objectives.

The Annual Survey Action Plan (and course materials, if necessary) will be reviewed by the appropriate review committee, and the program will be provided feedback. Results from the program's Annual Survey Action Plan must be provided and analyzed in the Interim Report.

#### **Year 5-10**

NAACLS will review annual surveys to determine if they are satisfactory. Should surveys contain any concerns during the review process, NAACLS may request that the program present an Annual Survey Action Plan within six months detailing the program's plan to manage the concern. In addition to the Annual Survey Action Plan, programs that submit outcomes which do not meet NAACLS' benchmarks will also be required to submit the following materials for one course in the curriculum:

- syllabus
- course goals
- measurable objectives in the cognitive, psychomotor, and affective domains
- evaluation systems that correlate with objectives

The Annual Survey Action Plan (and course materials, if necessary) will be reviewed by the appropriate review committee, and the program will be provided feedback. Results from the program's Annual Survey Action Plan must be provided and analyzed in the next Self-Study Report.

# **Programs Accredited for Five Years or Less**

NAACLS will review annual surveys to determine if they are satisfactory. Should surveys contain any concerns during the review process, NAACLS may request that the program present an Annual Survey Action Plan within six months detailing the program's plan to manage the concern. In addition to the Annual Survey Action Plan, programs that submit outcomes which do not meet NAACLS' benchmarks will also be required to submit the following materials for one course in the curriculum:

- syllabus
- course goals
- measurable objectives in the cognitive, psychomotor, and affective domains
- evaluation systems that correlate with objectives

The Annual Survey Action Plan (and course materials, if necessary) will be reviewed by the appropriate review committee, and the program will be provided feedback. Results from the program's Annual Survey Action Plan must be provided and analyzed in the next Self-Study Report.

#### **NAACLS' Due Process Procedure**

# **Reconsideration Process** Self-Study Review/Response Site Visit Report/Response (when applicable) **Review Committee** Recommendation (following QA Review) Recommendation sent to Program Request to NAACLS for Reconsideration from the Program Reconsideration Subcommittee Change of Motion **PRC Vote Original Motion New Motion Board of Directors**

# **Purpose and Criteria**

The Due Process Procedure provides an opportunity to reexamine a NAACLS action of accreditation. There are two levels of due process: (1) to the review committee (reconsideration), and (2) to the board of directors (appeal). The process may not be used to postpone implementing the accreditation action. The program's request to the review committee to reconsider the accreditation recommendation must include a concise statement citing the cause for reconsideration and the basis for the request with relation to the materials available during the respective review cycle.

# Reconsideration Process

Programs are given an opportunity to request reconsideration after they have received notification of the review committee's recommendation. In order to take advantage of this due process option, within **21 days** from receipt of the review committee's

notification, the program must provide in writing to NAACLS a request for reconsideration of the committee's recommendation. The request must be based on the non-application or mis-application of standards and/or inconsistency with established procedures.

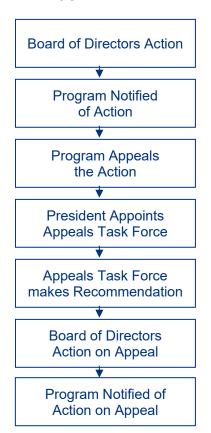
The program must have completed all previous steps in the accreditation process. These include responding to the Self-Study Review and Site Visit Report by either concurring with the findings or addressing each negative finding or concern. All missing or additional documentation must be submitted in these responses. **No new materials will be evaluated in the** 

#### reconsideration process.

The reconsideration request will be reviewed by a subcommittee of the review committee which will either uphold the original recommendation or present another motion to the entire committee for a vote. Depending upon the outcome of the vote, a new recommendation may be forwarded to the board of directors.

A program may petition the board of directors for appeal only if the review committee's recommendation appears arbitrary, capricious or inconsistent with the Standards and NAACLS' procedures.

# **Appeal Process**



# **Appeals Process**

Programs have the opportunity to appeal decisions of the board of directors related to their accreditation status. Within **21 days** from receipt of the board letter stating the action, the program must notify the executive director of intent to appeal.

The letter of intent must indicate a specific misapplication of standards (or non-application) or an inconsistency with established procedures. The program should have completed all previous steps in the accreditation process (including requesting reconsideration if the board award is the same as the review committee recommendation) and responding to the Self-Study Review and Site Visit Report by either concurring with findings or addressing any negative findings or concerns in the reports.

The president of the board of directors establishes an ad hoc task force of at least three individuals to review the appeal. The task force is appointed by the president from a pool of persons having previously served on the board of directors or review committees but who played no role in the decision which is under appeal.

The Appeals Task Force conducts a thorough review of all existing documentation and recommends an accreditation

award to the board of directors. The board makes the final decision related to the appeal. A program may appeal a specific accreditation action only once.

# **Options and Processes for Programmatic Accreditation**

# **Operational Characteristics of NAACLS Sponsorship**

# **Background**

While developing the 2012 Standards, the NAACLS Board of Directors established three

options for a program's sponsorship. The possibilities for programs were a traditional sponsoring institution, a consortium sponsorship, and a multiplication sponsorship. NAACLS offered consortium and multi-location sponsorship standards in anticipation of educational trends expected in the early 2010s. However, some trends did not come to fruition over the next decade. As a result, the 2012 Sponsorship Standard language confused programs looking to create innovative, collaborative models.

When drafting the 2024 Standards, the board of directors sought to remove confusing language without limiting delivery methods. Removing 2012's consortium and multi-location standards does not eliminate sponsorship options; rather, it attempts to make the process of collaboration between educational programs more efficient and user-friendly.

#### **Definitions**

In order to provide more flexibility with collaboration, NAACLS needs to be more specific about the definitions of important terms. This will ensure that administrators, educators, and NAACLS representatives are all on the same page when discussing program options and ensure that a program is compliant with the standards.

**Program** - the term NAACLS uses for the accredited entity, composed of the didactic and clinical education provider(s) (sponsor, partners and affiliates).

**Academic Affiliate** - a site for the program's foundational coursework. It may be a location for students to view broadcasted materials from the sponsor or the partner. While an academic affiliate may grant a certificate/degree acknowledging the completion of the academic affiliate's component of the learning experience, it does not grant the certificate/degree representing completion of the accredited program.

Clinical Affiliate - a site for conducting the program's clinical/applied learning experiences. It may be a location for students to view broadcasted materials from the sponsor or the partner. While a clinical affiliate may grant a certificate acknowledging the completion of the clinical/applied learning experiences, it cannot grant a degree/certificate at the culmination of the accredited program.

**Partner** - a location enlisted by the sponsor to administer professional phase content of a program, including teaching student labs. A sponsor's partner must employ a NAACLS-approved site program administrator, as found in Standard VII. In addition to the sponsor, a partner may grant a certificate or degree.

**Sponsor/Sponsoring Institution** - the party ultimately responsible for compliance with all the NAACLS Standards. A sponsor may enlist a partner(s) and affiliate(s) to ensure all components of the standards are met. The sponsor is the entity that employs the program director, who must be approved by NAACLS. The sponsor hosts the site visit and can grant graduates a degree or certificate.

# **Example of Program Model**

To better understand these terms, NAACLS has provided an example of what a program could look like using these terms. Admittedly, this is an example of a highly complex setup. NAACLS created this model consciously to demonstrate in detail the flexibility available to programs.

White Harbor Community College MLT Program

White Harbor Community College (WHCC) sponsors an MLT program. It has partnered with Hornwood Community College (HCC) and Ramsgate Medical Center (RMC) to deliver an NAACLS-accredited program.

#### Responsibilities of the Sponsor and Partners

Since White Harbor employs the program director, NAACLS considers it the program's sponsor. However, White Harbor engaged two partners in the area to help complete all educational and administrative requirements of a NAACLS Accredited program.

Hornwood and Ramsgate are partners with White Harbor in delivering the MLT Program. Both employ a site program administrator. In collaboration with the White Harbor program director, the site program administrators of Hornwood and Ramsgate have organized the responsibilities of professional phase classroom instructional content (lectures, etc.).

#### **Professional Phase Instructional Classroom Content**

Taking advantage of virtual meeting technology (Zoom, Microsoft Teams, Skype, etc.), students enrolled at each individual location can receive all the professional phase classroom instructional content without traveling to a separate location. For example, students at Hornwood would get the professional phase classroom instructional content provided by Hornwood in person while receiving broadcasted classroom content from White Harbor and Ramsgate at the Hornwood location. They would still have access to the program director for any questions or concerns, as required by the standards. In addition to having access to the program director, they could contact their respective site program administrator for assistance, as those positions require discipline-specific knowledge and experience in medical laboratory science education.

#### Affiliates of the Program

Hornwood Community College and White Harbor Community College use Cerwyn Labs as a clinical affiliate. While their partner Ramsgate is located at a clinical site, it has not elected to take on students from White Harbor or Hornwood for applied learning experiences or send its students there to complete any prerequisites needed prior to advancing on to professional phase content. Instead, it has enlisted Skagos Community College as an academic affiliate to provide those courses.

# **Degrees/Certificate Upon Completion**

Students who complete the White Harbor Community College MLT program must receive a degree or certificate. White Harbor and its partners may decide whether all students receive a degree from White Harbor or if they receive a degree/certificate from the individual site they attended, White Harbor, Ramsgate, or Hornwood. The NAACLS standard does not dictate who issues the degree/certificate, only that one is issued. As affiliates, Cerwyn and Skagos may or may not issue a degree or certificate upon completion. While NAACLS knows that the vast majority of the time, an affiliate may issue some document acknowledging the completion of that program section, it is not a requirement of the standards that they must do so.

# A Visual Example of the Model Described Above

#### Key: Professional Phase Classroom Instructional Content (where it originates and where it is received): Student Rotations (where they are being sent and by whom): Hornwood Community College (HCC) • HCC is a partner **Skagos Community** to WHCC College (SCC) • SCC is an · Employs site program academic affiliate administrator for RMC · Manages aspects Teaches non White Harbor Community College of the professional phase responsibilities of (WHCC) courses for RMC the sponsor • WHCC is the program's standard sponsor. Hosts site visit • Employs program director · Manages aspects of responsibilities of the sponsor standard Ramsgate Medical Center (RMC) RMC is a partner to WHCC Cerwyn Labs · Employs the site • Cerwyn is a clinical affiliate program for HCC and WHCC administrator • Provides Clinical Rotations Manages aspects for HCC and WHCC of responsibilities of Receives broadcasted the sponsor content from HCC, RMC and WHC • Employs clinical liaison

# **Transfer of Sponsorship**

The following procedures outline the steps for transfer of program sponsorship from one institution to another.

The chief executive officer of the institution relinquishing sponsorship, or an official designee, provides NAACLS with a notice of intent to transfer the program.

The chief executive officer of the new sponsoring institution, or an official designee, completes and forwards the NAACLS application for transfer of sponsorship of the program, together with a summary of the pertinent statements and exhibits as outlined below:

- A. Statements and appropriate exhibits fulfilling the general characteristics of a sponsoring institution. A sponsoring institution or consortium:
  - 1. Demonstrates evidence of sound financial support of the educational program on a current and continuing basis.
  - 2. Appoints personnel to the program based on established criteria for eligibility, including professional and academic qualifications.
  - 3. Assumes primary responsibility for curriculum planning and selection of course content, in consultation with representatives of affiliating institutions, if any.
  - 4. Exercises primary responsibility in coordination of classroom or online teaching and supervised clinical experience in simulated as well as in actual clinical facilities.
  - 5. Receives and processes applications for admission to the program.
  - 6. Accepts applicants who are then enrolled as full or part-time students with all customary privileges for use of available student services and facilities.
  - 7. Grants a degree or certificate, or other official evidence of completion of the program.
- B. Reasonable assurances that the Standards will continue to be met. These will include, but not be limited to:
  - 1. Organizational chart.
    - a. If transfer of sponsor **includes** a change in the organizational chart, include an organization chart identifying the program's position within the organizational structure and all key personnel by name and title.
    - b. If transfer of sponsor **does not include** a change in the organizational chart, include narrative indicating no change to organizational chart, and assurances that the program's position within the organizational structure has not changed.
  - 2. Program personnel.
    - a. If transfer of sponsor **includes** change in program personnel, include curriculum vitae of the program director, the clinical coordinator (optional) and the medical advisor/medical director (if applicable).
    - b. If transfer of sponsor **does not include** change in program personnel, include narrative indicating existing program personnel will continue in their roles under the new sponsor.
  - 3. Resources.
    - a. If transfer of sponsor **involves** program relocation, include institutional support resources to include: space, library facilities, etc.
    - b. If transfer of sponsor **does not involve** program relocation, include narrative indicating existing resources (space, library facilities, etc.) will continue to be utilized under the new sponsor.
  - 4. Submit an institutionally approved budget or a written statement of financial support.

a. Programs who are delinquent on their annual accreditation dues will not be allowed to transfer sponsorship until their account is current.

#### 5. Curriculum.

- a. If transfer of sponsor **involves** curriculum and principal faculty changes, include a curriculum outline and a list of principal faculty and lecturers with their qualifications.
- b. If transfer of sponsor **does not involve** curriculum and principal faculty changes, include narrative indicating existing curriculum and principal faculty will continue to be utilized.
- 6. Clinical and Academic Affiliates.
  - a. If transfer of sponsor **involves** changes to clinical and academic affiliates, include identification of all clinical and academic affiliates along with copies of formal affiliation agreements.
  - b. If transfer of sponsor **does not involve** changes to clinical and academic affiliates, include narrative indicating existing clinical and academic affiliates will continue to be utilized.
- C. NAACLS Staff acknowledges receipt of the letters and exhibits provided by the program. These materials will be sent for consideration to the appropriate Review Committee.

  After the assigned Review Committee has made a decision, the review will be sent to the NAACLS Executive Committee for final determination.
- D. If the materials submitted indicate the program continues to be in compliance with the Standards, the NAACLS Executive Committee will approve the transfer of sponsorship and determine an appropriate accreditation category and length. The NAACLS Executive Committee will also determine applicable fees and inform the new sponsor of these fees. The length of accreditation recommended for the newly sponsoring institution is usually the amount of time remaining in the program's last award.
- E. The program must remain fairly constant in the transfer process with similar personnel, affiliations and curriculum. Major changes may result in consideration of the program as an initial applicant.
- F. The length of accreditation recommended will depend upon when this action takes place. If the action occurs at the end of an accreditation cycle, the program may be recommended for the maximum eligible period of continuing accreditation. This action requires two separate motions on the part of the review committee:
  - a. Voluntary Withdrawal of Accreditation, naming the discontinued sponsor.
  - b. Motion recommending appropriate action.
- G. Should the information and exhibits provided by the new sponsor be found less than adequate, NAACLS may:
  - a. Require modification and submission of the most recent Self-Study Report with specific deadline date; or
  - b. Require a new Self-Study Report (by date), and/or
  - c. Recommend that accreditation be withheld from the new sponsor for reasons stated. This recommendation may or may not be based on a special, modified site visit.

#### **Inactive Status**

A program is considered inactive if:

• It does not accept students for a twelve-month period and does not have students currently enrolled.

A program has the following responsibilities:

- a. To notify NAACLS as soon as it is known the program will become inactive. (NAACLS will use the date provided by the program as the official start date of Inactive Status.)
- b. To continue payment of NAACLS' full annual accreditation fees.
- c. To notify NAACLS of any changes in program director during the inactive period.

A program may request Inactive Status for a maximum period of two years. At the end of the two-year period, the program must do one of the following:

- 1. Reactivate the program by enrolling students and following the reactivation process.
- 2. Submit a letter from the administrative officer requesting Voluntary Withdrawal of Accreditation; the effective date of closure and the reasons for this action must be included.

Failure to initiate one of these options before the inactive period expires will result in NAACLS placing the program on Administrative Probationary Accreditation.

If the inactive program was on Probationary Status (or placed on Probationary Status during the inactive period), the program has the option of addressing the Probationary Status with a progress report at the original due date or addressing it within the reactivation documents. If the latter is chosen, the program will remain on Probationary Status until the board of directors acts on the documents included in their reactivation.

#### Reactivation

If an inactive program reactivates and it was for less than two years, a letter of reactivation is required addressing:

- The reason for inactivity, and reactivation.
- Resolution of issues which led to program inactivity.
- A description of a formal evaluation plan for continually and systematically reviewing the effectiveness of the plan.

If a program is inactive for two years, a reactivation report is required. The reactivation report must include:

- Reason for inactivity, and reactivation.
- Resolution of issues which led to program inactivity.
- A description of a formal evaluation plan for continually and systematically reviewing the effectiveness of the plan.

If a program has met its two-year Inactive Status limit and its self-study due date has elapsed, a Self-Study Report is due within six months and a site visit to follow within six months.

Upon receipt of the letter of reactivation or the reactivation report, the appropriate review committee chairs will review and provide a recommendation to the NAACLS Executive Committee. The executive committee will determine the program's accreditation status.

The reactivation report must include the following:

# **Standard I. Sponsorship**

- **Sponsoring Institution:** Provide documents of current accreditation by a regional or national agency for the sponsoring institution.
- **Affiliations:** Provide letters of intent (or good faith) or signed affiliation agreements from proposed clinical sites, providing evidence that enough sites are available to accommodate projected numbers of students.

# **Standard II. Assessment and Continuous Quality Improvement**

 Program Evaluation: Summarize a documented plan for continuous and systematic assessment of program effectiveness with a plan for program modification and improvement.

#### **Standard III. Resources**

- **Budget Information:** Provide a budget sufficient to achieve program goals or a letter of financial support.
- Physical Resources: Describe facilities, equipment, and supplies sufficient to achieve program goals.

#### **Standard IV. Students**

• **Program Mission, Goals and Outcomes:** Provide program goals that will align, correlate, and support NAACLS entry-level competencies including both core and unique standards for the profession.

# **Standard V. Operational Policies**

• **Recruitment:** Describe student recruitment, processing of applications, and selection of students appropriate to the size and scope of the program. Describe how admissions criteria and essential functions and student outcomes measures will be communicated to prospective students.

# Standard VII. Faculty

- Submit proof of NAACLS Approval of the Program Director: Submit additionally required documentation for medical director and/or education coordinator, if applicable.
- **Personnel Plan:** Describe the faculty/personnel plan (additional faculty positions if appropriate) adequate to support the number of students proposed in the program and to achieve the program goals. Provide a list of faculty and the courses they will be teaching if possible.
- Advisory Committee: Describe the membership of advisory committee which provides input into the program/curriculum to maintain current relevancy and effectiveness.

#### Standard VIII. Curriculum

 Program and Course Descriptions: Provide a description of the proposed length of program or program tracks, courses, course descriptions with measurable student learning outcomes and sequencing and syllabi for each course. For one course, provide an example of a lecture and associated evaluation tools that align with outcomes and will provide evidence of learning. Program courses must include all of the instructional areas delineated in Standard VIII.A specific for the level of program.

This reactivation report will receive a preliminary review to determine if all required information is present. It will then be presented to the review committee at the next regular meeting. The review committee will vote on the acceptability of the report, and the program will be notified of the committee's decision.